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Docket No:

File No: 20594

PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of William Kitchen et. al.

:

Serial No. 09/034,561

: Group Art Unit: 2761

5

Filed: March 3, 1998:

: Examiner:
: Unassigned

For: ELECTRONIC BILL PROCESSING

# PETITION UNDER 37 CFR 47(a)

Honorable Assistant Commissioner of Patents Washington, D. C. 20231

Sir:

This Petition is filed concurrently with a response to the Notice to File Missing Parts of Application which issued on June 5, 1998. The undersigned hereby Petitions the Commissioner under 37 CFR 47(a) to accept, and proceed with the examination of, the above referenced Application notwithstanding the unavailability or refusal of certain of the joint inventors to execute the Application. In support of the Petition, it is respectfully submitted that:

- 1. The above referenced utility application was filed under 37 CFR 1.53 on March 3, 1998 as acknowledged in the Filing Receipt and Notice to File Missing Parts of Application dated June 5, 1998.
- 2. As set forth in the Declaration and Power of Attorney filed herewith, the inventors on the above referenced application are William Kitchen, Ginger Moses, Ralph Au, Clarence Bringardner and Kenneth Bradley.

Serial No.: 07/607,324

- 3. The Declaration and Power of Attorney filed herewith has been executed by named inventors William Kitchen, Ginger Moses, and Ralph Au on a Declaration and Power of Attorney document also naming Clarence Bringardner and Kenneth Bradley as inventors. Accordingly, inventors William Kitchen, Ginger Moses, Ralph Au have signed the Application on their own behalf as well as on behalf of non-signing inventors Clarence Bringardner and Kenneth Bradley.
- 4. As evidenced by the Declaration of Sharon Hand submitted herewith, a copy of the Application, Declaration and Power of Attorney have been forwarded on June 23, 1998 via UPS and again on August 26, 1998 via the U.S. Postal Service (certified mail return receipt requested) to Clarence Bringardner at his last know address and to Kenneth Bradley at his last know address.
- As also evidenced by the Declaration of Sharon Hand, the materials forwarded by UPS to Mr Bringardner have been returned as undeliverable by UPS. The materials forwarded by the U.S. Postal Service to Mr Bringardner have not been returned as undeliverable. However, the certification of delivery/non-delivery (i.e. Form 3811) has not been returned as yet by the U.S. Postal Service. Accordingly, trace was placed on the certification а delivery/non-delivery on November 18. 1998. This Petition will be supplemented and a copy of the certification of delivery/nondelivery (if available) will be submitted after the U.S. Postal Service completes its trace of the certification. Mr. Bringardner has not contacted Ms. Hand in connection with this application. Further, the executed Declaration and Power-of-Attorney documents included as part of the materials forwarded by the U.S. Postal Service to Mr. Bringardner have not been returned to Ms. Hand.
- 6. As also evidenced by the Declaration of Sharon Hand, the materials forwarded by UPS and the U.S. Postal Service to Mr Bradley have been delivered. Mr. Bradley has not contacted Ms. Hand in connection with this application. Further, the executed Declaration and Power-of-Attorney documents included as part of the materials forwarded to Mr. Bradley have not been returned to Ms. Hand.

Serial No.: 07/607,324

7. As evidenced by the attached Declaration of Sheila Foxx, no Declaration and Power of Attorney executed by either Mr. Bradley or Mr. Bringardner in connection with the above referenced case has been received by the undersigned's firm either directly, indirectly through the undersigned's prior firm (Jenkens & Gilchrist), or otherwise.

- It is respectfully submitted that (i) a diligent effort has been made to find and reach joint inventors Bradley and Bringardner and to obtain their respective signatures on the above referenced Application; (ii) inventor Bringardner either cannot be found or reached or, if the results of the trace currently being performed by the U.S. Postal Service indicate that inventor Bringardner did receive the Application, he has neither returned the executed Application nor indicated any intention to do so and in such case should be deemed to have refused to execute the Application; (iii) inventor Bradley has received the Application but has neither returned the executed Application nor indicated any intention to do so, therefore inventor Bradley should be deemed to have refused to execute the Application; (iv) all available joint inventors (i.e. William Kitchen, Ginger Moses, and Ralph Au) have executed the Application on their own behalf and on behalf of the non-signing inventors (i.e. Clarence Bringardner and Kenneth Bradley).
- 9. In view of the above, it is respectfully requested that the Commissioner find that inventor Bringardner is unavailable and/or has refused to execute the above referenced Application, that inventor Bradley is unavailable and/or has refused to execute the above referenced Application, and that the Application can be made in the name of the joint inventors who have executed the Application on their own behalf and on behalf of the non-signing inventors Bringardner and Bradley.

In summary, it is respectfully submitted that the mandates of 37 CFR 47(a) have been fulfilled and the above referenced application is acceptable notwithstanding non-execution by two of the five joint inventors. Accordingly it is respectfully requested that this Petition be GRANTED and prosecution of the Application be allowed to proceed.

Serial No.: 07/607,324

It is respectfully requested that the undersigned be contacted by telephone at the below listed local telephone number, in order to expedite resolution of any issues, or if any comments, questions, or suggestions arise in connection with herewith.

A check in the amount of \$130.00 to cover the Petition Fee under 37 CFR 1.17(h) is enclosed herewith. Please charge any shortage in fees due in connection with the filing of this paper to Deposit Account No. 12-0429, and please credit any excess fees to such deposit account.

Respectfully submitted, LALOS & KEEGAN

Alfred A. Stadnicki Registration No. 30,226

AAS/led
1146 Nineteenth Street, N.W.
Fifth Floor
Washington, D.C. 20006-3703
Telephone: (202) 887-5555
Facsimile: (202) 296-1682
November 25, 1998

Docket No: 33300-003

File No: 20594

In re Application of \William Kitchen et. al.

Serial No. 09/034,561

Filed: March 3, 1998:

For: ELECTRONIC BILL PROCESSING

Group Art Unit:2761

: Examiner: Unassigned

# SUPPLEMENT TO PETITION UNDER 37 CFR 47 (a)

Honorable Assistant Commissioner for Patents Washington, DC 20231 I hereby certify that this paper or fee is being deposited with the U.S. Postal Service as First Class Mail addressed to the Assistant Conumissioner for Patents, Washington, D.C. 20231

Sir:

Further to the Petition under 37 CFR 47 (a) filed concurrently with the Response to the Notice to File Missing Parts of Application, on November 30, 1998, it is respectfully submitted that:

- 1. As set forth in paragraph 5 of the Petition, the certification of delivery/non-delivery (i.e. Form 3811) had not been returned by the U.S. Postal Service at the time of the filing of the Petition for the August 26, 1998 certified mailing to Mr. Clarence Bringardner at 2995 Dresden Street, Columbus, OH 43224. Accordingly, a trace had been placed on the certification of delivery/non-delivery by the U.S. Postal Service on November 18, 1998.
- 2. The U.S. Postal Service has now completed its trace, and as indicated on the attached copy of the Domestic Return Receipt (After Mailing) Form 3811-A and stamped by the U.S. Postal Service with an "INQUIRY" stamp dated December 8, 1998, the U.S. Postal Service has no record of delivery or return of the certified mailing. As advised by the postal service this means that the certified mailing was never delivered or picked up from the post office and it was then lost during the process of returning the mailed materials to the sender.
- 3. It is therefore respectfully submitted that after a diligent effort to find and reach joint inventor Bringardner to obtain his signature on the above-referenced application, inventor Bringardner cannot be found or reached.
- 4. In view of the Petition under 37 CFR 47 (a) filed November 30, 1998, and this Supplement to the Petition, it is respectfully requested that the Commissioner find that the inventor Bringardner is unavailable and that inventor Bradley is unavailable and/or has refused to execute the above-referenced application, and that the application can be made by the three inventors who have executed the application on their own behalf and on the behalf of the non-signing inventors Bringardner and Bradley.

Docket No: 33300-002

File No: 20594

In summary, it is respectfully submitted that the mandates of 37 CFR 47 (a) have been fulfilled and the above-referenced application is acceptable not withstanding non-execution by two of the five joint inventors. Accordingly, it is respectively requested that this Petition be granted and prosecution of the application be allowed to proceed.

It is respectively requested that the undersigned be contacted by telephone at the below listed local telephone number, in order to expedite resolution of any issues, or if any comments, questions or suggestions arise in connection herewith.

Please charge any shortage of fees in connection with the filing of this paper to deposit account number 12-0429, and please credit any excess fees to such deposit account.

Respectfully submitted,

LALOS & KEEGAN

Alfred A. Stadnicki Registration No. 30,226

AAS/led

1146 Nineteenth Street, N.W.

Fifth Floor

Washington, D.C. 20036-3703 Telephone: (202) 887-5555 Facsimile: (202) 296-1682

Date: December 17, 1998

JC541 U.S. PTO 09/034561



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INITIALS \_\_\_\_\_

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Mr. Clarence M. Bringardner 2995 Dresden Street Columbus, OH 43224

RE:

U.S. Patent Applications

Dear Mr. Bringardner:

l have enclosed copies of papers containing the specification, claims, abstract, and drawings as filed for the following:

#### **ELECTRONIC BILL PROCESSING**

Attached to the papers are a Declaration and Power of Attorney and (2) Assignment documents to be executed by you as indicated. The Declarations and Power of Attorneys must be signed and dated in front of a Notary. Return the fully executed documents to Jenkens & Gilchrist no later than July 5, 1998 Please do not mail them to me.

Jenkens & Gilchrist Attn: Alfred A. Stadnicki, Esq. 1919 Pennsylvania Avenue, NW Suite 600 Washington, DC 20006-3404

Should your name or address be incorrect, please draw a line through the incorrect information and print the correct information above it.

Thank you,

Ravi Ganesan Chief Technical Officer

Executive Vice President

#### Enclosures

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Mr. Clarence M. Bringardner 2995 Dresden Street Columbus, OH 43224

### Oper Mr. Bringardner;

On June 23, 1998, Mr. Ravil Gamesan sent you patent documents that needed your algorithms. Our Patent Altorney has informed us he has not received your signed, notarized papers as yet. I have enclosed another Declaration and Power of Altorney and (2) Assignment documents for your signature. Please make sure they are notarized where necessary and return from to the following address by September 8, 1998:

Exhibit B

Mr. Ai Stadnicki Lalos & Keegan 1146 Mineteenth Street, N.W. Fifth Floor Washington, DC 20036-3703

Should your name or address be incorrect, please draw a line through the incorrect information and print the correct information above it.

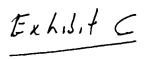
Should you have any questions, please feel free to contact me at 770-734-3587. Thank you for your cooperation.

Regards,

Shoron Sheron Hend **Executive Assistant** 

**Enclosures** 

FORM RS Form 3800, April 1995 (Reverse)





Mr. Kenneth Bradley III \$202 Olde Richmond Lane Westerville, OH 43081

RE:

U.S. Patent Applications

Dear Mr. Bradley:

I have enclosed copies of papers containing the specification, claims, abstract, and drawings as filed for the following:

## **ELECTRONIC BILL PROCESSING**

Attached to the papers are a Declaration and Power of Attorney and (2) Assignment documents to be executed by you as indicated. The Declarations and Power of Attorneys must be signed and dated in front of a Notary. Return the fully executed documents to Jenkens & Gilchrist no later than July 5, 1998. Please do not mail them to me.

> Jenkens & Gilchrist Attn: Alfred A. Stadnicki, Esq. 1919 Pennsylvania Avenue, NW Suite 600 Washington, DC 20006-3404

> > WEIGHT DALENSOWL

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Thank you,

Chief Technical Officer **Executive Vice President** 

#### Enclosures

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August 26, 1996

Via Certified Mail #P 283 696 945

Mr. Kenneth Bradley, III 8202 Olde Richmond Lane Westerville, OH 43081

Dear Mr. Bradley:

On June 23, 1998, Mr. Ravi Ganesan sent you patent documents that needed your signature. Our Patent Attorney has informed us he has not received your signed, notarized papers as yet. I have enclosed another Declaration and Power of Attorney and (2) Assignment documents for your signature. Please make sure they are notarized where necessary and return them to the following address by September 8, 1998:

Mr. Al Stadnickl Lalos & Keegan 1146 Nineteenth Street, N.W. Fifth Floor Washington, DC 20036-3703

Should your name or address be incorrect, please draw a line through the incorrect information and print the correct information above it.

Should you have any questions, please feel free to contact me at 770-734-3587. Thank you for your cooperation.

Regards,

Sharon Hand
Executive Assistant

**Enclosures** 

4411 East Jones Bridge Spa Narotons Georgia 30002 770-441-3387 Internet: With Letter Live Com-

n the reverse side?	SENDER:  a Complete items 1 and/or 2 for additional services.  a Complete items 3, 4a, and 4b.  a Print your name and address on the reverse of this form so that we can return this card to you.  Attach this form to the front of the maliplece, or on the back it space does not permit.  b Write "Return Receipt Requested" on the maliplece below the article number.  The Return Receipt will show to whom the article was delivered and the date delivered.		I also wish to receive the following services (for an extra fee):  1.  Addressee's Address 2.  Restricted Delivery Consult postmaster for fee.	
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